

SSL Corp. d/b/a SSL.com NAESB WEQ-012 Audit Report

Report Version: 1.0

NAESB WEQ-012 Standards, Versions: 003.1 and 003.2

NAESB WEQ-022 Standards, Versions 003.1 and 003.2

Dated: July 18, 2020

Prepared by: James H. Buccigross, B.S. MBA, J.D., Independent Auditor

Description:

An independent audit and review was undertaken to review SSL.com's compliance with the NAESB WEQ-012 requirements (Version 003.1 and 003.2), the WEQ-022 requirements (Version 003.1 and 003.2), as well as the current and relevant requirements of the NAESB Accreditation Requirements for Authorized Certificate Authorities, pursuant to the NAESB Authorized Certification Authority Process, all as detailed below.

Documents Reviewed and Considered (including any attachments, exhibits and references):

- NAESB Wholesale Electric Quadrant Business Practice Standards and Models Relating To Public Key Infrastructure (PKI), WEQ-012, Version 003.1
- NAESB Wholesale Electric Quadrant Business Practice Standards and Models Relating To Public Key Infrastructure (PKI), WEQ-012, Version 003.2
- NAESB Wholesale Electric Quadrant Business Practice Standards and Models Relating To Electronic Industry Registry, WEQ-022, Version 003.1
- NAESB Wholesale Electric Quadrant Business Practice Standards and Models Relating To Electronic Industry Registry, WEQ-022, Version 003.2
- NAESB Authorized Certification Authority Process (aka Board Certification Committee Authorized Certification Authority Process) dated December 8, 2016
- NAESB Accreditation Requirements for Authorized Certificate Authorities (previous version, effective October 9 2018 - February 18, 2020)
- NAESB Accreditation Requirements for Authorized Certificate Authorities (current version, approved by the WEQ EC on February 18, 2020)
- SSL.com Certificate Policy and Certification Practice Statement (CP/CPS), Versions 1.7, 1.8 and 1.9 (current)
- SSL.com WTCA Independent Accountant Report and Management Assertion 2019 (WebTrust Report prepared by BDO USA, LLP, dated August 10, 2019, except for Attachment B, which is as of February 12, 2020)
- SSL.com WTBR Independent Accountant Report and Management Assertion 2019 (WebTrust Report prepared by BDO USA, LLP, dated August 10, 2019, except for Attachment B, which is as of February 12, 2020)

- SSL.com WTEV-SSL Independent Accountant Report and Management Assertion 2019 (WebTrust Report prepared by BDO USA, LLP, dated August 10, 2019, except for Attachment B, which is as of February 12, 2020)
- SSL.com WTEV-CS Independent Accountant Report and Management Assertion 2019 (WebTrust Report prepared by BDO USA, LLP, dated August 10, 2019, except for Attachment B, which is as of February 12, 2020)
- SSL.com WTPT-CS Independent Accountant Report and Management Assertion 2019 (WebTrust Report prepared by BDO USA, LLP, dated August 10, 2019, except for Attachment B, which is as of February 12, 2020)
- SSL.com Subscriber Agreement, Version 1.4 (current)
- Federal Information Processing Standards (FIPS) 140-2
- Federal Information Processing Standards (FIPS) 140-3
- WebTrust Principles and Criteria for Certification Authorities, Version 2.1

Findings:

As of the date of this report it is my opinion that SSL.com. is compliant in all material aspects with the WEQ-012 standards as specified in Section 5 for the applicable assurance level(s) and version(s) and that management's assertion to that effect is fairly stated in all material aspects.



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